

## Individual Account – Account Opening Checklist

### 個人帳戶 — 開戶文件清單

Please provide the following documents / information required for opening the trading account with Yuanta Futures (Hong Kong) Company Limited:

請提供以下於元大期貨(香港)有限公司開立交易戶口所需資料/文件:

Part I – Documents Request 甲部：所需文件	
1	Certified true copy of the identification documents <sup>1</sup> 有效核證之身份證明文件 <sup>1</sup>
2	Residential address (and permanent address and correspondence address if different) proof within 3 months of issue <sup>2</sup> 最近3個月之住址(及永久地址及通訊地址,如與住址不相同)證明 <sup>2</sup>
3	Bank account number and/or copies of bank book (for remittance purpose, if applicable) 銀行戶口號碼及/或銀行存摺之副本(供日後滙款用途,如適用)
Part II – Forms Request 乙部：所需表格	
4	Account Opening Form for Individual Account 個人帳戶開戶表格
5	FATCA Certification of Identity (IRS Form W-8BEN or W-9) 海外帳戶稅收遵循法案身分證明表單(表格 W-8BEN 或 W-9)
6	Common Reporting Standard Self-Certification Form 共同匯報標準自我證明表格
7	Combined Risk Assessment Questionnaire and Derivatives Course 風險評估問卷及一般衍生工具知識課程
8	Client Money Standing Authority 客戶款項常設授權

### Remarks 備註

- Identification documents 身份證明文件
  - For HK permanent residents: Certified true copy of the HKID card  
香港永久性居民：有效核證之香港身份證
  - For non-permanent residents or non-residents: Certified true copy of the valid ID card / passport (should include the nationality)  
非永久性居民或 非香港居民：有效核證之身份證或護照副本(必須包括國籍)
- Address proof types 地址證明類別
  - Utility bill (e.g. electricity bill, water bill, gas bill)  
公用事務賬單(例如：電費單、水費單、煤氣費單)
  - Government issued documents (e.g. Demand for rates, Government rent and Notice of assessment)  
政府部門發出之文件(例如差餉、地租通知書、繳稅通知書)
  - A statement, issued by an authorized institution, a licensed corporation or an authorized insurer  
由認可機構、持牌法團或獲授權保險人發出的結單
  - Mobile phone or pay TV statement (sent to the address provided by the customer)  
流動電話或收費電視結單(寄往客戶所提供的地址)
  - P.O. Box and Business card will **NOT** be accepted as address proof  
郵政信箱及客戶之個人名片恕不接納
  - For non-Hong Kong residents, a government-issued photographic driving license or national identity card containing the current residential address or bank statements issued by a bank in an equivalent jurisdiction  
非香港居民：由政府發出的附有照片的駕駛執照或載有目前居住地址的國民身分證或對等司法管轄區的銀行發出而令金融機構信納當中的地址已獲核實的銀行結單。
- Certified true copy should be certified by licensed or registered person, Justice of the Peace or professionals (e.g. Branch Manager of bank, qualified accountant, Lawyer, or Notary Public).  
所有核副本的核證，應由持牌或註冊人士、太平紳士或專業人士(如：銀行分行經理、執業會計師、律師或公證人)加以驗證